

Modern Slavery Statement For the Financial Year Ending 31 December 2023

Introduction

Rock Rail East Anglia PLC (“**RREA**”) was established in 2016 to finance, own and lease a fleet of 58 state of the art FLIRT trains (the “**Fleet**”) manufactured by Stadler AG Limited (“**Stadler**”) and maintained by Stadler Rail Service UK Ltd under contract from Transport UK Greater Anglia Limited (Greater Anglia). This statement is made on behalf of RREA and its holding companies, Rock Rail East Anglia (Holdings) 1 Limited and Rock Rail (Holdings) 2 Limited.

Organisation structure and supply chains

RREA was established with the sole purpose of financing, owning and leasing the Fleet. The Fleet was manufactured by Stadler in a variety of locations, making use of a large international supply chain. The Fleet began a phased entry into service in July 2019.

RREA has no employees. RREA’s activities are managed by Rock Rail East Anglia Management Services Limited (“**RREAMS**”) under a management services contract.

Policies in relation to slavery and human trafficking

RREA is committed to operating its business in an ethical and socially responsible manner. It recognises the seriousness of modern slavery and is dedicated to preventing such practices within its organization supply chain. This policy outlines RREA’s commitment to combat modern slavery, in accordance with Section 54 of the Modern Slavery Act 2015.

RREA strictly prohibits any form of modern slavery, including forced labour, child labour, human trafficking, and any other unlawful exploitation of individuals in any of activity it undertakes. It condemns all practices that violate basic human rights and dignity.

It is therefore RREA’s policy to only contract with organisations that make a visible and meaningful commitment to the prevention of slavery and human trafficking. This is a foundational principle. The company participates in a highly regulated sector, with clear, firm requirements for the prevention of modern slavery and in which the risks are prevented at a strategic level through strong governmental oversight.

Due diligence processes

RREA ensures that its policies in relation to modern slavery and human trafficking are adhered to by reviewing the modern slavery policies and statements of its direct suppliers and, where necessary following a risk assessment, its indirect suppliers.

Risk assessment, management and training

RREA, with RREAMS, undertakes a regular assessment of all Environment, Sustainability and Governance risks, with full review being undertaken at least on an annual basis. The risk assessment includes consideration of modern slavery.

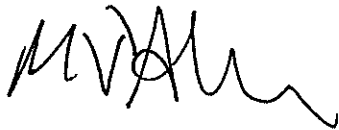
RREA will promptly investigate any suspected incidents of modern slavery and ensure that its suppliers take immediate remedial actions, where necessary, using whatever mechanism are available to it to address them.

RREA will ensure that staff of RREAMS are aware, of the relevant policies relating to modern slavery and receive training as necessary to promote and maintain awareness of modern slavery.

Key performance indicators

In the financial year ahead, RREA will continue to meet with its direct suppliers to ensure compliance with their own modern slavery statements and policies.

This statement has been reviewed and approved by RREA's board of directors on 17th September 2024. It has been signed below on behalf of the board by Matthew Allen.

A handwritten signature in black ink, appearing to read 'MVA', with a stylized, wavy underline.

Name:

Matthew Allen, Director

23 September 2024